

## **Experience Summary**

Charles R. Ragan (“Chuck”) has a solo ADR neutral practice based in Minneapolis, Minnesota, and serves as Senior Counsel with Redgrave LLP. He began the practice of law with Pillsbury Madison & Sutro in San Francisco, was based in the firm’s Silicon Valley office for several years, and litigated across a spectrum of complex commercial disputes (including antitrust, contract, intellectual property, mergers and acquisitions, and securities matters) in federal and state courts and international arbitration venues. Through his work with Chief Judge Peckham and Magistrate Judge Brazil in the Northern District of California, Chuck was at the forefront of the national Alternative Dispute Resolution movement.

Since Chuck left the Pillsbury firm, he has focused on his work as a neutral and as a thought leader in the field of information law.

Chuck has been appointed as sole, party-appointed wing, or presiding arbitrator in approximately 50 arbitrations under the auspices of the ICC, AAA, or ICDR. In addition, he is on the Technology List of the Silicon Valley Arbitration & Mediation Center, and has been a member of neutrals’ panels sponsored by the CPR and FedArb Inc.

Chuck partnered with Jonathan Redgrave in 2005 to co-found the first international information law firm (Redgrave Daley Ragan & Wagner) and joined Redgrave LLP in 2016. Through his work with The Sedona Conference® since 2002, Chuck has participated in the rational development of principles and norms for information governance and e-discovery and contributed to many of the Conference’s significant works.

For several years, Chuck has taught courses on eDiscovery (at University of California, Hastings College of the Law) and on Information Governance (at the University of Minnesota Law School). He is a graduate of Princeton University and Fordham Law School where he was Editor-in-Chief of the Law Review. He is admitted to the state bars in California, Minnesota and New York, as well as in several federal courts.

## Publications

Chuck has written and presented frequently - in the U.S. and abroad - for more than 35 years in the areas of international dispute resolution, mediation and arbitration and also information governance and electronic discovery. Among his publications and contributions are the following:

- *The Keys to a Better Privilege Logging Paradigm*, Law 360 (Sept. 23, 2020) (co-author). See <https://www.redgravellp.com/keys-better-privilege-logging-paradigm>.
- *The Ballad of the Sad Non-Party: Practical Guidance for True Non-Parties Handling Documents Subpoenas* (2020 co-author), <https://www.redgravellp.com/ballad-sad-non-party-practical-guidance-true-non-parties-handling-documents-subpoenas>.
- *Restructuring Planning: Information Governance – Top Ten List* (2020 co-author), <https://www.redgravellp.com/restructuring-planning-information-governance-top-ten-list>.
- *The Sedona Principles: Best Practices Recommendations & Principles for Addressing Electronic Document Production, Third Edition*, 19 Sedona Conf. J. 1 (2018 drafting team and editorial style committee member), <https://thesedonaconference.org/publication/The%20Sedona%20Principles>.
- “Ostriches Beware: E-Discovery Ethics in Social Media,” Law 360 (July 13, 2015) (co-author).
- “A Wake-Up Call to Counsel re: ESI Discovery,” Law 360 (September 11, 2014) (co-author).
- “In Practice: Avoiding the Digital Dark Age and Remaining Competitive,” NACD Directorship, National Association of Corporate Directors (May/June 2014).
- “Information Governance: It’s a Duty and It’s Smart Business,” 19 Richmond J. Law & Tech. 1, (2013), available at [jolt.richmond.edu/v19i4/article12.pdf](http://jolt.richmond.edu/v19i4/article12.pdf).
- *The Sedona Conference Commentary on Information Governance*, 15 Sedona Conf. J. 125 (2014 drafting team member).
- *An Electronic Discovery Primer*, 40 William Mitchell L. Rev. 427 (2014) (co-author as part of Minnesota E-Discovery Working Group 1).
- “How to Avoid the Information Management Dark Ages,” Law Technology News (December 16, 2011).
- “Reevaluating the Rules for Discovery,” LA Daily Journal (May 21, 2010), co-author.
- “The Case for Cooperation,” 10 Sedona Conf. J. 339 (2009 Supp. contributing editor).
- “Discover New E-Worlds: International Arbitration Can Thrive Despite E-discovery’s Challenges,” Legal Times (April 22, 2008 co-author).

- *The Sedona Principles: Best Practices Recommendations & Principles for Addressing Electronic Document Production*, 2<sup>nd</sup> ed. (2007), Annotated Edition (BNA), co-managing editor (also co-managing editor for the 2004 and 2005 annotated editions).
- “Competence and credibility in e-discovery,” *Trial* magazine (2007 co-author).
- "Arthur Andersen's Victory Too Little, Too Late – What Lessons Others Can Take from Arthur Andersen LLP v. United States," *International Law Committee Newsletter*, ABA Tort & Insurance Practice Section (Spring 2006 co-author).
- “Looking Beyond Arthur Andersen: The Impact on Corporate Records and Information Management Policies and Practices,” *The Corporate Counsel Review* (republished Spring 2006 co-author).
- *The Sedona Guidelines: Best Practice Guidelines & Commentary for Managing Information & Records in the Electronic Age* (2005), co-editor-in-chief.
- “Looking Beyond Arthur Andersen: The Impact on Corporate Records and Information Management Policies and Practices,” *The Federal Lawyer* (September 2005), co-author.
- “Document Retention – The Law and Practice Around the World,” *PLC Cross Border* (July 2005), co-author of US section.
- *The Sedona Guidelines: Best Practices for Managing Information and Records in the Digital World*, (published for public comment September 2004), executive editor.
- “Strategic Use of Dispute Resolution Methods in America,” *Daiichi Hoki* (September 2004).
- “Dispute Resolution by Mutual Consent,” *Daiichi Hoki* (June 2004 co-author).
- “Recent U.S. Cases on Enforcement of Awards,” *ALR International*, N-5 (2003).
- “A Guide to Successful Litigation in the U.S.A: Using Appropriate Dispute Resolution (ADR) To Achieve Superior Outcomes in Contemporary Disputes,” *International Legal Strategy* (December 2, 2003; published in Japanese and English).
- "Using ADR in Japan to Resolve Disputes Between Japanese and American Companies," *Alternatives*, Volume 11, Number 7 (July 1993) (published by CPR).
- "Emerging Dispute Resolution Techniques in the Pacific Basin," *9 Arbitration International*, Number 2 (1993).
- "Dispute Resolution in Japan: Pursuing Alternatives to Arbitration," *East Asian Executive Reports*, Volume 14, Number 10 (October 15, 1992).
- "Preparing for the Pitfalls of Arbitration in Japan," *East Asian Executive Reports*, Volume 13 (Jan. 15, 1991).

- "Chapter 12: Arbitration in Japan," *Private Investments Abroad: Problems and Solutions in International Business* (1991) (proceedings of Southwestern Legal Foundation, 1990).
- "*Arbitration in Japan: Caveat Foreign Drafter and Other Lessons*," 7 *Arbitration International* (1991).
- "*Two-Stage ADR Ends Fuel Case*," *Alternatives*, Volume 8 (September 1990), co-author.

**Affiliations/Memberships**

- Admitted to the Bar in California (from 1976), Minnesota (from 2006) and New York (from 1975) Current
- CPR International Institute for Conflict Prevention & Resolution, panelist on multiple panels 1996 – 2015
- American Arbitration Association, panelist (Mediation, from 2001; Commercial Arbitration (including Large and Complex), from 1986, and International Arbitration, from 1997) 1986 – Present
- FedArb, Inc., panelist (Electronic Discovery and other specialized panels) 2011 – Present
- U.S. Council for International Business (ICC), panelist, (Arbitration) 2013 – Present
- Silicon Valley Arbitration and Mediation Center, Tech List panelist 2015 – Present
- U.S. District Court for the Western District of Pennsylvania, E-discovery Special Masters panelist 2013 – Present
- American College of e-Neutrals (acesin.com), panelist 2011 – Present
- International Bar Association 1989 – 2016
- Chair of ADR Subcommittee to Committee D (Dispute Resolution) 1996-2000
- U.S. District Court, Northern District of California
  - Civil Justice Reform Act Advisory Group, 1995-1999
  - ADR Rules Committee (2008)
  - Lawyer Delegate to the Ninth Circuit Judicial Conference (1985-1991)
- The Sedona Conference 2002 – Present

**Presentations (illustrative)**

- “Developments in International Arbitration: Keeping the Promises of a New Era?” sponsored by the ICC USA/USCIB, (Nov. 13, 2019 Minneapolis, MN) (panelist).
- The Sedona Conference, panelist regarding proposed updates and revisions for *The Sedona Principles, 3<sup>rd</sup> ed.* (April 2015, August 2015 (webinar), October 2015, and April 2016).
- 11th Annual Georgetown Advanced EDiscovery Institute, “Information Governance in a Big Data World,” panelist (McLean VA, November 2014).
- The Sedona Conference Annual Meeting, “The Sedona Principles – Proposed Updates and Revisions,” panelist (New Orleans LA, November 2014).
- The Sedona Conference Institute, “Information Governance,” panelist (New Orleans LA, November 2014).
- 2014 EDI Leadership Summit, “What’s Driving Enterprise Information Governance Programs,” moderator (Ft. Lauderdale FL, October 2014).
- Minnesota CLE webcast, “The New Law Series: The Future of Big Data, Privacy and the Law,” co-presenter (September 2014).
- AAA/ICDR webinar, “Discovery in Arbitration – Practical Advice for Domestic and International Success,” co-presenter (August 2014).
- Minnesota CLE webcast, “Information Governance: Litigation Readiness for the 21st Century,” co-presenter (May 2014).
- AMRA/The Sedona Conference, “Information Governance Executive Conference,” panelist (multiple sessions) (Amelia Island FL, April 2014).
- Twin Cities ARMA, “Information Governance: Making the Case and Getting Started,” presenter (Minneapolis MN, February 2014).
- 10<sup>th</sup> Annual Georgetown Advanced eDiscovery Institute, “Information Governance and the ‘Not Me’ Conundrum: The Nuts and Bolts of Building an Effective IG Program,” panelist (Washington DC, November 2013).
- The Sedona Conference Annual Meeting, panelist regarding proposals to revise *The Sedona Principles, 2d ed.* (Fall 2013).
- William Mitchell School of Law Legal Symposium, “Minnesota E-Discovery Working Group 1 – An Electronic Discovery Primer: Recognizing the eDiscovery Realities in Your Cases,” co-presenter (October 2013).
- Minnesota State Bar Association Civil Litigation Section, "Walking the Tightrope — How to Balance the Increasing Production Obligation in the Digital Age and the Risk of Sanctions from Failing to Produce or Preserve," panelist (Minneapolis MN, June 2012).
- CPR Institute Regional CLE Series: Chicago, “Topics in Mediation and Arbitration – E-Discovery: Cost and Containment,” co-presenter (April 2011).
- Association of Corporate Counsel webcast, “The Business of E-Discovery: Producing Results While Controlling Costs and Mitigating Risk,” panelist (August 2009).
- Strafford Publications webinar, “E-Discovery in Arbitration: Lessons from the Trenches: Procedures for Managing Electronically Stored Information to Achieve Efficient Resolution,” panelist (December

2008).

- PLI Electronic Discovery and Retention Guidance for Corporate Counsel, “ESI Ethical Issues,” presenter (Chicago IL, October 2008).
- Juris Conferences LLC Electronic Evidence Section – Disclosure in International Arbitration, “Whither E-disclosure in International Arbitration,” panelist and moderator (New York City NY, January 2008; and London England, October 2008).
- Society of American Archivists Annual Meeting, “E-Records and E-Discovery: A Mini-Sedona Conference Seminar,” panelist (San Francisco CA, August 2008).
- University of Texas School of Law E-Discovery Workshop, panelist (multiple sessions) (Austin TX, October 2007).
- 28th Annual Corporate Counsel Institute CLE on E-Discovery and Record Preservation, “ESI’ Is Coming in Less than Nine Months: Do You Know Where Your Data Is?” presenter (Houston TX, April 2006; and Dallas TX, May 2006).
- Financial Markets Association Securities Compliance Seminar, “Records Management: Seeing the Forest AND the Trees,” panelist (San Francisco CA, April 2006).
- IQPC's E Discovery Real World Solutions and Practical Strategies in a Complex and Challenging Environment, “Forging the Bridge to Success – How to Go from 'Bright Idea' to 'Action Plan' to 'Implementation' of a Viable Information and Document Retention Program,” panelist (Miami FL, February 2006).
- Continuing Legal Education Society of British Columbia’s 6th Annual Civil Litigation Conference, “Discovery Issues in the Era of Electronic Documents,” presenter (Vancouver BC, November 2005).
- 50<sup>th</sup> Anniversary Conference of ARMA International, “Mini Sedona” presentation and update on The Sedona Guidelines, moderator (Chicago IL, September 2005).
- Corporate Counsel Advanced Forum on Document Management and E-Discovery, “Managing Costs, Exposures and Liabilities While Fulfilling Your Legal Obligations,” presenter (New York NY, September 2005).
- The Sedona Conference WGS Audio Update, “Rules Tomorrow, Guidance Today: The state of play of the Federal Rules amendment proposals post-Standing Committee action on June 16, and the impact of Arthur Andersen and Morgan Stanley,” co-presenter (June 2005).
- State Bar of California Conference “Intellectual Property Litigation Issues for In-House Counsel - Surviving E-Discovery,” presenter (San Jose CA, April 2005).
- LegalTech, “How to Manage the Costs Associated with E-Discovery,” moderator (Los Angeles CA, June 2004).
- Managing Electronic Records Conference, “*Sedona Guidelines*: Best Practices for Managing Information and Records in the Digital World,” presenter (Chicago IL, May 2004).
- DRI Conference Workshop, “Practical Strategies for Dealing with Your Clients’ Litigation Response Plans,” panelist (New York NY, April 2004).
- The Sedona Conference’s Second Annual Working Group Series on Electronic Document Retention and Production, panelist (Santa Fe CA, October 2003).
- Managing Electronic Records Conference, panelist (electronic document retention policies) (Chicago IL, September 2003).
- International Bar Association New York Convention Academic Forum, panelist (recent U.S.

decisions) (San Francisco CA, September 2003).

- International Bar Association Committee D, “Developing ADR Skills in a Private Practice,” panelist (San Francisco CA, September 2003).
- ABA Forum on Franchising, “A Strategic Comparison of Domestic and International Arbitration Forums and Rules Available to the Franchise Community,” panelist (Scottsdale AZ, October 2002).
- International Bar Association Committee D, “Current Developments in the United States concerning the U.N. Convention on the Recognition and Enforcement of Foreign Arbitral Awards,” panelist (Durban SA, October 2002).
- LCIA North American User’s Council Meeting, moderator (Coral Gables FL, October 2001).
- ABA Forum on Franchising, “Litigating Across Borders – Bridging the Gap,” presenter (San Francisco CA, October 2001).
- People to People Ambassador Program on Arbitration and Dispute Resolution to the People’s Republic of China, participant (May-June 2001).
- International Bar Association Committee D, “Resolving the Nasty ‘One-Off’ Situation: Techniques, Strategies and Tricks for Successful ADR of Disputes Where There Is No Continuing Relationship,” session chair (Amsterdam, September 2000).
- International Bar Association Committee D, “Current Developments in the United States concerning the U.N. Convention on the Recognition and Enforcement of Foreign Arbitral Awards,” panelist (Amsterdam, September 2000).
- International Development Law Institute - Development Lawyers Course, visiting lecturer (Rome, March 2000).
- IBA Third International Arbitration Day, panelist (Hong Kong, February 2000).
- International Bar Association Committee D, “Mock Cross-Cultural Mediation,” panelist (Barcelona, September 1999).
- International Bar Association Committee D, “Current Developments in the United States concerning the U.N. Convention on the Recognition and Enforcement of Foreign Arbitral Awards,” panelist (Barcelona, September 1999).
- Alternative Dispute Resolution for Judges and Businesses, “Alternative to What? An Introduction to ADR in the NAFTA Region,” panelist (Mexico City, June 1999).
- ABA Litigation Section, counsel for claimant in mock intellectual property arbitration (Dallas TX, April 1999).
- International Bar Association Committee D, “How Lawyers Should Behave in Mediation,” session chair (Vancouver, September 1998).
- LCIA-AAA Conference (regarding international arbitration and dispute resolution), panelist (Coral Gables FL, March 1998).
- International Bar Association Committee D, “ADR in Asia,” session chair (Delhi, 1997).
- International Bar Association Committee T, “Methods for Construction Dispute Settlement,” session chair (Delhi, 1997).
- Berkeley Journal of International Law Symposium on Trade with Asia: Legal Challenges for the Next Century, “Arbitration of Private Disputes in Asia,” panelist (September 1997).
- International Bar Association Committee D, “How Can a Judge Order ADR,” moderator (Berlin,



1996).

- ICSID, ICC, AAA, 11th Annual Joint Colloquium and Seminar on International Arbitration, "Concerns of American Lawyers with Foreign Arbitration Jurisdictions," presenter (San Francisco CA, October 1994).
- International Bar Association, "Non-Binding ADR," panelist (New Orleans LA, October 1993).
- International Bar Association, Mock Mediation, participant, (New Orleans LA, October 1993).
- American Bar Association Section on Business Law, "Drafting Dispute Resolution Clauses: State-of-the-Art," panelist (New York NY, August 1993).
- Advanced Computer Legal Education 8th Annual Law Office Technology Conference, "Effective Use of Demonstrative Evidence Technologies," presenter (San Diego CA, July 1993).
- Bates Edwards Group, Bay Area Association for Resolving Disputes, "The ADR Program at PMS and How They Help Clients Maximize Their Use of ADR," presenter (San Francisco CA, May 1993).
- The Rutter Group, 1992 Federal Litigation Program, "Winning Through Discovery," panelist (Monterey CA, December 1992).
- American Arbitration Association: International Symposium on Dispute Resolution, [International] Arbitration Law in California, presenter (Los Angeles CA, November 1992).
- Ninth Circuit Judges' Workshop, panelist (discovery) (1990).
- State Bar of California, International Law Section, "A Hypothetical Case on California's International Commercial Arbitration and Conciliation Act of 1989," panelist (Monterey CA, 1990).
- Southwestern Legal Foundation International and Comparative Law Center Symposium on Private Investments Abroad, "Arbitrating in Japan: Caveat Drafter and Other Lessons from an American Perspective on Trans-Pacific Dispute Resolution," presenter (June 1990).
- Southwestern Legal Foundation Institute for Transnational Arbitration – Transnational Commercial Arbitration Workshop, Mock Arbitration (based on the Pyramids case), participant (June 1990).
- ABA Conference Young Lawyers Division – Committee on ADR, "Doing Business in the 21st Century; ADR in the Pacific Rim," panelist (August 1989).
- Institute for Transnational Arbitration, commentator (Summer 1989).
- ABA International Litigation and Arbitration, "Practical Issues and Modern Arbitration," presenter (1987).



**Employment**

Ragan Law Firm	August 2011 - Present
Redgrave LLP	February 2016 - Present
University of Minnesota School of Law, Adjunct Prof.	March 2012 – 2020
UC Hastings School of Law, Adjunct Prof.	October 2013 - Present
Hamline University, Adjunct Prof.	May 2014 – 2016
Zelle Hofmann Voelbel & Mason LLP, Special Counsel	January 2014 – 2015
Huron Consulting Group, Managing Director	March 2009 – July 2011
Redgrave Daley Ragan & Wagner LLP, Managing Partner	October 2005 – April 2009
Pillsbury Winthrop Shaw Pittman LLP, Associate/Partner	July 1976 – October 2005
Law Clerk to Hon. R. J. Aldisert, U.S. Court of Appeals for 3d Cir	1974-1976
The Associated Press, N.Y. Bureau, Reporter and Editor	1968 (summer), 1969-1972

**Non-Academic Teaching Experience**

- The Sedona Conference’s e-Discovery Dispute Resolution Program for Special Masters and Mediators, panelist (multiple sessions). January 2010
- JAMS neutrals training video regarding electronic discovery, panelist. April 2009
- Electronic Discovery at Neutrals’ Conference of American Arbitration Association, presenter. January 2009
- Juris conferences in New York (January) and London (October) on Electronic Evidence and Disclosure in International Arbitration, moderator and panelist, 2008

**Education**

- J.D. Fordham University, *cum laude*; Law Review Editor-in-Chief (1974)
- A.B. Princeton University, Woodrow Wilson School of Public & International Affairs (1969)

See also <https://www.redgravellp.com/our-people/profiles/charles-r-ragan>.

References upon request.